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Alexandria, VA 22313-1450.  
Name: Anna Massiou 10/9/2006  
Anna Massiou Date  
Signature

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Fernandez, et al  
Serial No.: 09/145,167  
Filed: 9/1/1998  
For: Adaptive Direct Transaction For Network Client Group

Attorney Docket No. FERN-P004  
Examiner: Wu, Rutao  
Art Unit: 3639

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT TRANSMITTAL LETTER**

Dear Sir:

1. **TRANSMITTED DOCUMENTS:** the following documents relating to the above-identified patent application are being transmitted herewith.

- X a. An Amendment for this application: 9 pages.
- b. Substituted Formal Drawings:          sheets.
- c. A Petition For Extension of Time For Response under 37 CFR 1.136(a) incorporated herein.
- d. An Information Disclosure Statement under 37 CFR      1.97(b) X 1.97(c)
- X e. A stamped, self-addressed, return postcard.
- f. A Check (#      ) for \$          to cover required fees of this correspondence.

2. **APPLICANT FILING STATUS:**

- a. Applicant is a Large Entity.
- X b. Applicant is a Small Entity.

3. **EXTENSION OF TIME:**

     a. Applicant petitions for an extension of time under 37 C.F. R. 1.136 for the total number of      months checked below (fees pursuant to 37 C.F.R. 1.17(a)-(d).

<u>Extension of Time</u>	<u>Large Entity Fee</u>	<u>Small Entity Fee</u>
i. One (1) month .	<u>    </u> \$ 120.00	<u>    </u> \$ 60.00
ii. Two (2) month .	<u>    </u> \$ 450.00	<u>    </u> \$ 225.00
iii. Three (3) month .	<u>    </u> \$1,020.00	<u>    </u> \$ 510.00
iv. Four (4) month .	<u>    </u> \$ 1,590.00	<u>    </u> \$ 795.00
v. Five (5) month .	<u>    </u> \$ 2,160.00	<u>    </u> \$ 1080.00

Extension Time Fee Total:     .00

- X b. Applicants believe that no extension of time is required. However, this conditional petition is being made in case Applicants have inadvertently overlooked the need for a petition for extension of time, the Commissioner is hereby authorized to charge any necessary amount associated with this communication or credit any overpayment to **Deposit Account No: 500482.**

#### 4. FEE CALCULATION:

The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

Fee Items	Claims Remaining After Amendment	Highest Number of Claims Previously Paid	Present Extra Claims	Fee Rate	Total
a. Total Claims	6	- 20 =	0	x \$ 50.00 Large Entity x \$ 25.00 Small Entity	\$ .00
b. Independent Claims	2	- 4 =	0	x \$200.00 Large Entity x \$100.00 Small Entity	\$ .00
c. Multiple Dependent Claims Added By This Amendment				x 360.00 Large Entity x 180.00 Small Entity	\$ .00
d. Extension of Time Fee Total, if any, from above EXTENSION OF TIME section 3a.					\$ .00
e. Additional Fees Required With This Correspondence					
i) 1.17 (p) Fee for Information Disclosure under 1.97(c)					\$ .00
e. Total Fees					\$ .00

#### 5. PAYMENT OF FEES

The full fee due in connection with this communication is provided as follows:

\_\_\_\_\_ The Commissioner is hereby authorized to charge the fees associated with this communication or credit any overpayment to **Deposit Account No: 500482**. A duplicate copy of this authorization is enclosed.

\_\_\_\_\_ A Check # \_\_\_\_\_ for \$ \_\_\_\_\_ for the above specified Total Fee is enclosed. However, should Applicants inadvertently miscalculated the required fee, the Commissioner is hereby authorized to charge the necessary additional amount associated with this communication or credit any overpayment to **Deposit Account No: 500482**.

X \_\_\_\_\_ Applicant does not believe that any payment of fee is needed in association with this communication. However, should Applicant inadvertently miscalculated the required fee, the Commissioner is hereby authorized to charge any necessary amount associated with this communication or credit any overpayment to **Deposit Account No: 500482**.

Please direct all correspondence concerning the above-identified application to the following address:

**CUSTOMER NO: 22877**

**FERNANDEZ & ASSOCIATES, LLP**

**Patent Attorneys**

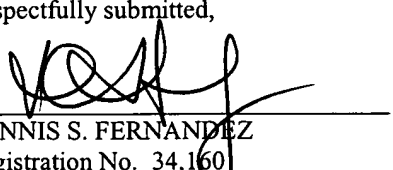
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Respectfully submitted,

  
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Registration No. 34,160

10/09/2006  
Date



**IN UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventors:      Fernandez, *et al.*                      Attorney Docket No.: FERN-P004

Serial No.:      09/145,167                      Art Unit:                      3639

Filed:              9/1/1998                      Examiner:                      WU, RUTAO

Title:              Adaptive Direct Transaction For Networked Client Group

**AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In response to Office Action dated 9/19/2006, please amend this application as follows:

**Amendments to Claims** begin on page 2.

**Remarks** begin on page 5.